



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard J. Ericson, et al.

Appln. No.: 10/684,171

Filed: October 10, 2003

Date: March 9, 2004

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Docket No.: OT-4416A

Title: TRACTION ENHANCED CONTROLLED PRESSURE FLEXIBLE FLAT TENSION MEMBER TERMINATION DEVICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

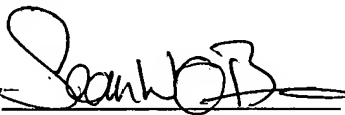
Sir:

INFORMATION DISCLOSURE STATEMENT

Enclosed is an Information Disclosure Citation, Form PTO-1449, submitted in compliance with 37 C.F.R. 1.97 (b)(3), along with a copy of each foreign document listed thereon.

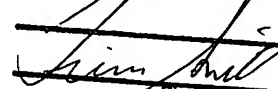
Each listed document is of record in parent U.S. Patent Application No. 09/218,989.

Respectfully submitted,

By 
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I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/684,171	
			Filing Date	October 10, 2003	
			First Named Inventor	Richard J. Ericson	
			Group Art Unit	Not Yet Assigned	
			Examiner Name		
Sheet	1	of	3	Attorney Docket Number	OT-4416A

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		216,013		Ida	6/1879	
		421,120		Young	2/1890	
		966,243		Ritter	8/1910	
		982,742		Piper	1/1911	
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		2,062,653		Hocher	12/1936	
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		2,234,029		Heckendorf	3/1941	
		2,552,173		Hocher	5/1951	
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		4,570,753		Ohta et al.	2/1986	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country	Number ⁴	Kind Code ⁵ (if known)			
		GB	1,401,197	A	7/1975		
		CA	2,154,422	A1	3/1996		
		DE	115,089		11/1900		
		FR	999,585		2/1952		
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		DE	318,110		1/1920		
		DE	589,075		12/1933		
		DE	613,640		5/1935		
		GB	754,786	A	8/1956		

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	3	Attorney Docket Number	OT-4416A

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		e ³	Number ⁴ <i>(if known)</i>				
		DE	1,010,713		6/1957		
		CA	679,268		2/1964		
		DE	2,136,540	A	2/1973		
		DE	3,623,407	A1	1/1988		
		WO	98/29326		7/1998		
		WO	98/29327		7/1998		

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		Filing Date	October 10, 2003		
		First Named Inventor	Richard J. Ericson		
		Group Art Unit	Not Yet Assigned		
		Examiner Name			
Sheet	3	of	3	Attorney Docket Number	OT-4416A

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Hannover Fair 1998	
		PCT Search Report for Serial No. PCT/US99/03642 dated June 1, 1999	
			Ab

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